

TABLE OF CONTENTS

EXECUTIVE SUMMARY.....	12
INTRODUCTION.....	14
2.1 PURPOSE & MOTIVATION	14
2.2 RESEARCH STRUCTURE.....	15
2.3 EMPIRICAL SETTING.....	18
2.4 METHODS.....	18
2.5 SITUATING THE PHD PROJECT IN THE INNOVATION RESEARCH LANDSCAPE.....	19
ARTICLE 1 – OPEN INNOVATION AND COMPANY CULTURE: INTERNAL OPENNESS MAKES THE DIFFERENCE	20
3.1 ABSTRACT.....	21
3.2 INTRODUCTION.....	21
3.3 INNOVATION CULTURE AND OPENNESS	23
3.4 METHODOLOGY AND APPROACH.....	27
3.5 FINDINGS	32
<i>3.5.1 Cluster 1: closed innovation.....</i>	<i>36</i>
<i>3.5.2 Cluster 2: doing, using, interacting (DUI).....</i>	<i>37</i>
<i>3.5.3 Cluster 3: outsourcing innovation capabilities.....</i>	<i>38</i>
<i>3.5.4 Cluster 4: extramural innovation, no matching internal culture/procedures</i>	<i>39</i>
<i>3.5.5 Cluster 5: proactive innovation (match of internal and external openness)</i>	<i>40</i>
3.6 DISCUSSION AND CONCLUSIONS	41
3.7 REFERENCES	43
3.8 APPENDIX: FACTOR VARIATION BETWEEN THE PORTFOLIOS OF OPEN INNOVATION STRATEGIES	48
ARTICLE 2 – STRUCTURAL CHANGES IN NATIONAL INNOVATION SYSTEM: LONGITUDINAL STUDY OF INNOVATION MODES IN THE RUSSIAN INDUSTRY	50
4.1 ABSTRACT.....	51
4.2 INTRODUCTION.....	51
4.3 BACKGROUND: ACCOUNTING FOR THE PATH DEPENDENCE	52
4.4 THE CASE OF RUSSIA: AN OVERVIEW OF THE INNOVATION SYSTEM PERFORMANCE.....	55
4.5 INNOVATION TRENDS AT MESO-LEVEL.....	58
4.6 CONCLUSIONS	69
4.7 REFERENCES	70
ARTICLE 3 – TECHNOLOGICAL UPGRADING OF SECTORS THROUGH THE PRISM OF SHIFTING FIRM-LEVEL INNOVATION STRATEGIES	76
5.1 ABSTRACT.....	77
5.2 INTRODUCTION.....	77
5.3 BACKGROUND	78

5.3.1	<i>Technological upgrading and innovation</i>	78
5.3.2	<i>Sectoral heterogeneity of innovation.....</i>	80
5.3.3	<i>Firm-level innovation modes.....</i>	85
5.4	DATA AND METHODOLOGY	86
5.4.1	<i>Data description.....</i>	86
5.4.2	<i>Econometric estimation and clustering of sectors.....</i>	87
5.5	CONCLUSIONS	92
5.6	REFERENCES	93
ARTICLE 4 – UNDERSTANDING THE HETEROGENEITY OF INNOVATION MODES: PERFORMANCE EFFECTS, BARRIERS, AND DEMAND FOR STATE SUPPORT		96
6.1	ABSTRACT.....	97
6.2	INTRODUCTION.....	97
6.3	BACKGROUND: TAXONOMIES OF INNOVATION BEHAVIOR.....	99
6.4	INNOVATION MODES: MICRO-LEVEL TAXONOMY IN ACTION	105
6.5	ECONOMETRIC ANALYSIS OF THE INNOVATION MODES.....	109
6.6	DISCUSSION AND CONCLUSIONS	119
6.7	REFERENCES	122
6.8	APPENDIX A: SAMPLE DESCRIPTIONS.....	126
6.9	APPENDIX B. DEFINITIONS OF INNOVATION CONCEPTS	128
OVERALL CONCLUSIONS		130
7.1	OUTLOOK OF THE RESULTS	130
7.2	LIMITATIONS AND FUTURE PLANS.....	131
TOTAL REFERENCES COMBINED		132
EIDESSTATTLICHE ERKLÄRUNG		146